

**BUTLER COUNTY SAMI COURT PROGRAM
PARTICIPANT HANDBOOK**

(SUBSTANCE ABUSE MENTAL ILLNESS)



COURT OF COMMON PLEAS
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TABLE OF CONTENTS

Welcome and Introduction.....	3-4
Team Approach.....	4-5
Overview of SAMI Requirements.....	5
Treatment Team.....	5-9
Status Review Hearings Schedule.....	9
Summary of Treatment.....	9-14
Incentives.....	14-15
Sanctions.....	15-16
Substance Use Monitoring.....	16-17
Successful Completion.....	18-19
Unsuccessful Termination.....	19
Neutral Discharge.....	19-20
Inactive Status.....	20

Welcome and Introduction

Congratulations on your decision to participate in the Butler County SAMI (Substance Abuse Mental Illness) Court Program, also known as “SAMI Court”. This Program is designed to provide support by linking you with services you will need to not only complete this Program, but also help you to establish a solid foundation in recovery and maintain stable mental health. You will be linked to the appropriate services in a much shorter time frame than you would if you attempted to do this on your own.

You can expect to be in SAMI for a minimum of fifty-two (52) to seventy-eight (78) weeks. However, this does NOT guarantee you will complete the entire Program within that time period. Your time may be extended in the Program if you fail to comply with all of the requirements. You may earn additional time in the Program if you fail to maintain sobriety, obtain new charges, fail to comply with the rules of SAMI, fail to comply with the SAMI *Participation Agreement*, fail to take your medications as prescribed, or fail to comply with your Court orders. SAMI is not for everyone. It requires a long-term commitment to maintain personal responsibility with treatment, compliance with Court-ordered sanctions, medication compliance, and the ability to maintain sobriety from alcohol and drugs while in the Program.

This *Participant Handbook* has been written to help you to understand and successfully complete the requirements of SAMI. Please read it carefully. If you have any questions about its contents, please ask your SAMI case manager, the Program nurse, or your probation officer.

In this handbook, you will find specific information about the Court, SAMI Program requirements, rules, guidelines, probation, and treatment information. There are four (4) phases for the SAMI Court Program; and you will be expected to meet the requirements of each of the phases. In addition to the four (4) phases, you will also participate in a Caseload group. You will advance through each phase as you make progress and accomplish case plan objectives. There will be information regarding specific things you must do to complete each phase later in this handbook. If you fail to comply with the rules of SAMI, specific penalties can, and will, be imposed.

What are the benefits of SAMI to you?

- A clean and sober lifestyle;
- Stable mental health;
- Improved familial and social relationships;
- Education, housing, and employment assistance; and
- Overall healthier lifestyle.

What resources does SAMI offer to you?

- Access to a range of alcohol and drug treatment services;

- Access to a Program nurse and Program psychiatrist to assist with your mental health;
- Case management services to assist you with linkages to local services such as employment, educational or vocational opportunities, housing assistance, and transportation assistance;
- Incentives for when you are doing well; and
- Relationships with SAMI team members including the Judge, Magistrate, Program nurse, Program psychiatrist, probation officers, case managers, and others.

What do you have to do?

- Abstain from alcohol and drug use;
- Take medications as prescribed;
- Attend treatment as identified in your treatment plan;
- Attend status (Court) review hearings;
- Attend appointments with case managers, the Program nurse, the Program psychiatrist, and your probation officer;
- Comply with the SAMI rules, the *SAMI Participation Agreement*, the rules of probation, and any Court orders;
- Submit to frequent and observed, random alcohol and drug screens;
- Obtain full-time employment or secure social security; and
- Comply with sanctions for infractions when you are not doing well.

Team Approach

The SAMI Court Program uses a team approach. The purpose of the team approach is to have all team members work together to assist you to become successful. SAMI recognizes the distinct role of the prosecutor in pursuing justice and protecting public safety and victim's rights. SAMI also recognizes the distinct role of defense counsel in preserving your constitutional rights.

Your attorney and a prosecutor may attend treatment team meetings and status review hearings. Treatment team meetings are also attended by the Judge, the Magistrate (if the Judge is not available), the Specialty Courts Director/Coordinator, the probation officers, treatment providers, the Program nurse, the Program psychiatrist, the Program psychologist, and the case managers. Other individuals, guests, or representatives from other agencies may attend if their presence is requested.

If your attorney does not attend treatment team meetings on a regular basis, you have the right to request that your counsel attend the portion of the treatment team meeting concerning you. You will attend regular status review hearings before the Judge. At the status review hearing, the Judge will review any activity or developments, ask you questions, and provide incentives or issue sanctions as appropriate. You will converse with the Judge and other team members during the status review hearing.

You will learn more about the SAMI treatment team, SAMI requirements, and the different aspects of SAMI as you read through this handbook.

Overview of SAMI Requirements

Now that you have been ordered to complete the SAMI Court Program, you will be required to attend all treatment sessions as identified in your treatment plan; attend appointments with the Program nurse and Program psychiatrist; submit to random, observed urine screens; take medications as prescribed; attend sober support group meetings; comply with ongoing case management services; report for status review hearings; comply with the *SAMI Participation Agreement*; and comply with all supervision rules as identified by the Court.

As part of your community control (probation), you will be required to report to your probation officer as directed by your probation officer. You may be asked to report more frequently, or appear at status review hearings on an increased basis as a sanction for a SAMI infraction. Any significant changes such as your address, employment, family, or other matters are to be reported immediately. You are to be prepared to submit a urine sample at all office visits, treatment sessions, or status review hearings. You are to provide sober support group, employment, and/or community service (if applicable) verification as requested. You will also be required to make payments towards Court costs, supervision fees, and restitution (if applicable).

As part of your supervision, there will be home visits. These visits are random and unannounced. You may be asked to provide a urine sample during these visits.

You may also be expected to engage with additional services such as educational and vocational training, employment assistance, parenting classes, corrective thinking, and/or domestic violence, theft, and anger management classes.

Treatment Team

The treatment team consists of the SAMI Judge, Magistrate, assistant prosecuting attorney, defense counsel, probation officers, Program nurse, Program psychiatrist, Program psychologist, licensed treatment providers, case managers, and the Specialty Courts Director/Coordinator.

Judge

- Discretion to decide the admission into or termination from SAMI;
- Knowledgeable about treatment and programming methods and limitations;
- Leader of the treatment team;
- Decision-maker, especially concerning incentives, sanctions, phase advancement, and successful completion or termination;
- Final decision-maker and resolves conflicts among treatment team members;

- Attends treatment team meetings, monitors treatment progress, directs Court orders at status review hearings, issues incentives and sanctions, grants phase advancement, and approves your successful completion, unsuccessful termination, or neutral discharge from SAMI; and
- Discusses progress with you at status review hearings.

[The Judge assigned to the SAMI Court Program is Judge Noah E. Powers II.]

Magistrate

- Knowledgeable about treatment and programming methods and limitations;
- Attends treatment team meetings and monitors treatment progress;
- If the SAMI Judge is unavailable, directs Court orders at status review hearings, issues incentives and sanctions; and
- Discusses progress with you at status review hearings.

Assistant Prosecuting Attorney

SAMI incorporates a non-adversarial approach while recognizing the distinct role of the prosecutor in pursuing justice and protecting public safety and victim's rights. The prosecutor plays an active role in identifying eligible participants for SAMI in accordance with the SAMI written criteria. The assistant prosecutor typically does not attend treatment team meetings.

Defense Counsel

SAMI incorporates a non-adversarial approach while recognizing the distinct role of defense counsel in preserving your constitutional rights. Defense counsel plays an active role in identifying eligible participants for SAMI in accordance with the SAMI written criteria. You do maintain the right to request the attendance of defense counsel during the portion of the treatment team meeting concerning you.

Defense counsel's role includes:

- Assists with decision-making regarding participation in SAMI;
- Explains to you what rights are waived by entering the Program;
- Explains to you the possible sanctions that may be imposed;
- Explains to you the circumstances that may lead to termination; and
- Explains to you the effect that termination from SAMI may have on your case.

Probation Officers

- Meets with you regularly to discuss individualized Program goals and progress through SAMI;
- Attends treatment team meetings and status review hearings;
- Conducts office, home, and field visits;

- Monitors compliance with Court orders, community control rules, sanctions, and individualized treatment plans;
- Informs the treatment team whether Court orders, rules, sanctions, and treatment plans are followed;
- Conducts or coordinates random alcohol and drug tests, and reports the results to the treatment team;
- Participates in discussions about incentives, sanctions, phase advancement, successful completion, and termination; and
- Provides written documentation to the Judge for a possible termination.

Licensed Treatment Providers

- Anyone providing treatment for SAMI must be appropriately licensed and trained to deliver services;
- Treatment providers, whenever possible, will maintain separate tracks for SAMI participants;
- Conducts diagnostic assessments, provides the clinical diagnosis, and develops the treatment plan;
- Provides documentation on your progress in treatment and compliance with treatment plans, including treatment attendance and results of alcohol and drug tests;
- Attends treatment team meetings and status review hearings;
- During treatment team meetings, provides treatment updates and makes recommendations regarding treatment needs;
- Participates in discussions regarding incentives, sanctions, phase advancement, successful completion, and termination;
- Monitors service provider agreements and contracts; and
- Coordinates SAMI treatment team professional education.

Case Managers

- Meets with you on a regular basis;
- Visits you at home, school, or other locations;
- Assists you in the development, utilization, and coordination of the individualized treatment plan;
- Assists with transportation, housing, education, employment, obtaining medical care, family issues, training, and applying for government assistance;
- Makes referrals to other agencies;
- Provides documentation on your progress;
- Conducts random drug and alcohol screens;
- Monitors your medication compliance;
- Provides status reports for the treatment team meetings and status review hearings;
- Attends treatment team meetings and status review hearings; and

- Participates in discussions regarding incentives, sanctions, phase advancement, successful completion, and termination.

Program Nurse

- The SAMI nurse must be appropriately licensed and trained to deliver services;
- Monitors your medication compliance;
- Assists you with general health needs;
- Attends treatment team meetings and status review hearings;
- During treatment team meetings, provides medication/treatment updates and makes recommendations regarding medication/treatment needs; and
- Participates in discussions regarding incentives, sanctions, phase advancement, successful completion, and termination.

Program Psychiatrist

- The SAMI psychiatrist must be appropriately licensed and trained to deliver services;
- Discusses/identifies the mental health diagnosis of potential participants;
- Observes your mental health and physical symptoms, and issues medications as needed;
- Monitors your medication compliance;
- Attends treatment team meetings and status review hearings;
- During treatment team meetings, provides medication updates and makes recommendations regarding medication needs; and
- Participates in discussions regarding incentives, sanctions, phase advancement, successful completion, and termination.

Program Psychologist

- The SAMI psychologist must be appropriately licensed and trained to deliver services; and
- Discusses/identifies the mental health diagnosis of potential participants.

Specialty Courts Director/Coordinator

- Assists with identifying potential participants;
- Coordinates referrals and assessments;
- Assists with decision-making regarding participation in SAMI;
- Maintains the daily operations of the SAMI Docket;
- Collects and maintains statistical information for SAMI;
- Gathers status reports from treatment and service providers to present to the treatment team;
- Participates in discussions about incentives, sanctions, phase advancement, successful completion, and termination;

- Creates reports for review and submission to funding sources;
- Ensures that treatment team members follow Program policies and procedures;
- Monitors service provider agreements and contracts;
- Plans and facilitates Advisory Committee meetings; and
- Coordinates SAMI treatment team professional education.

Status Review Hearings Schedule

SAMI incorporates ongoing judicial interaction with each participant. Frequent status review hearings establish and reinforce SAMI policies and ensure effective and efficient supervision of the participant. This allows the Judge to provide an explanation of responses to compliance and noncompliance, including the criteria for termination.

Regular Court review hearings will take place every Tuesday beginning at 10:00 AM, and unless otherwise identified due to a sanction, your attendance will be scheduled as follows:

- Engagement -- twice every four (4) weeks
- Persuasion -- once every five (5) weeks
- Active Treatment -- once every five (5) weeks
- Relapse Prevention -- once every five (5)weeks

You shall adhere to a dress code for all SAMI appointments:

- No short shorts or mini skirts;
- No spaghetti straps or tank tops;
- No tops or dresses with cleavage exposing any portion of the breast;
- No halter tops or strapless tops/dresses;
- No skin tight pants, skirts, or shorts;
- No baggy pants;
- No see through clothing;
- No clothing that makes reference to drugs and/or alcohol; and
- No sexually suggestive clothing.

You will be expected to bring your calendar and be prepared to submit a urine screen for every status review hearing. Failure to attend a scheduled review hearing may result in the imposition of additional sanctions or the issuance of a warrant for your arrest.

Summary of Treatment

You will receive a treatment plan based on your individual needs. All provided services shall incorporate evidence-based strategies. You shall have prompt access to a continuum of approved treatment and rehabilitation services upon admission into SAMI. The SAMI Program maintains a current treatment plan and a record of activities for each participant. Treatment plans continue to develop throughout SAMI to reflect your

changing needs based on Program progress. Appropriately licensed and trained individuals will deliver services according to the standards of their profession. The SAMI treatment plans take into consideration services that are gender responsive and culturally appropriate, while effectively addressing co-occurring disorders. All provided services, including case plans, are appropriate and clinically necessary to the degree that available resources allow.

SAMI has partnered with the following agencies to provide services to you: Community Behavioral Health, Inc., Talbert House (Serenity Hall), Sojourner Recovery Services, Community Behavioral Health Mental Health Services, Ohio Department of Job and Family Services, Volunteers of America (VOA), YWCA, Serve City, and the Hope House. Many of these provide registration/intake, baseline alcohol and drug testing, assessment, development of treatment plans, case management, group therapy, individual treatment sessions, relapse prevention plans, aftercare plans, gender specific programming, programming to address co-occurring disorders, supportive housing, family therapy, and medication monitoring. These agencies will collaborate with other community agencies to provide educational and vocational training, employment, transportation, housing, individualized substance abuse programming, domestic violence programming, parenting classes, and mental health services.

Phases

Phases are the steps in which your performance and progress through SAMI are monitored. These phases are used as guidelines that can be modified to meet your specific needs. SAMI incorporates four (4) phases of treatment: Engagement, Persuasion, Active Treatment, and Relapse Prevention. You are also required to frequently report to the SAMI Judge and other treatment team members for compliance monitoring, assistance with treatment plans, and random alcohol and drug testing.

At a minimum, you shall appear before the SAMI Judge at least twice every four (4) weeks in the initial phase (Engagement). In subsequent phases, you shall appear regularly before the Judge. Phase advancement is based on your individual performance, on a case-by-case basis, and as recommended by the treatment team. Progression through SAMI is based on your performance in the treatment plan and compliance with SAMI rules. Phase advancement is not solely based on pre-set timelines. Time between status review hearings may be increased or decreased based upon compliance with treatment protocols, Program rules, and overall observed progress. General criteria for phase advancement may include your sobriety, mental health, progress in treatment, compliance with Court orders, payment of Court fees, and team recommendation.

Phase I (Initial Phase): Engagement

Engagement is the first phase of treatment, and it is designed to assist you in connecting with the Program itself. During this phase, you have the most contact with the Court by attending scheduled status review hearings at least twice every four (4) weeks. Required treatment attendance is three (3) days per week, with each group lasting two (2) hours. In

addition to the required group sessions, you shall have four (4) individual counseling sessions per month with your assigned case manager. The main focus is on medication management, daily structure, and building relationships with both staff and other clients as a foundation for progress. You will work on education about chemical dependency, mental illness, issues of denial, hope, and communication. There will be both individual and group sessions, with not only you, but your family members as well. The case manager will help start the process of getting certain entitlements: housing, food/medical card, clothing, applying for Social Security, or any other needs.

Your requirements for Phase I compliance include:

- Attending treatment sessions, appointments, and other activities as scheduled;
- Attending appointments with the Program psychiatrist and taking medication as prescribed;
- Attending status review hearings at a minimum of twice every four (4) weeks;
- Attending meetings with case managers, the Program nurse, and/or probation officers;
- Submitting to alcohol and drug testing at a frequency determined by the treatment team, at a minimum of two (2) times per week;
- Cooperating with random home visits;
- Engaging in a sober support community and obtaining a sponsor;
- Abiding by the rules of SAMI, the SAMI *Participation Agreement*, community control, and the laws; and
- Committing no new criminal offenses.

Phase II: Persuasion

Persuasion is the second phase of treatment. The process of moving from being a user to a non-user can be a long, complicated process. Individual and group treatment will focus on motivational techniques to prepare you for Active Treatment. During this phase, you shall appear regularly before the Judge. Required treatment attendance is three (3) days per week, with each group lasting two (2) hours. In addition to the required group sessions, you shall have four (4) individual counseling sessions per month with your assigned case manager. There will be continuing education for you and your family, along with group support, medication management, focus on structure/schedule, and an emphasis on rehabilitation. At this point, it is hoped you have recognized that substance abuse and mental illness are problems in your life. The SAMI team will work with you towards abstinence and developing the required tools in Active Treatment.

Your requirements for Phase II compliance include:

- Attending treatment sessions, appointments, and other activities as scheduled;
- Attending appointments with the Program psychiatrist and taking medication as prescribed;
- Attending status review hearings regularly before the Judge;

- Attending meetings with case managers, the Program nurse, and/or probation officers;
- Continuing to submit negative alcohol and drug testing samples at a frequency determined by the treatment team, at a minimum of once per week;
- Cooperating with random home visits;
- Engaging in a sober support community and obtaining a sponsor;
- Abiding by the rules of SAMI, the SAMI *Participation Agreement*, community control, and the laws;
- Committing no new criminal offenses; and
- Identifying long-term education, employment/disability, and housing goals.

Phase III: Active Treatment

Active Treatment is the third phase of treatment. The objective is to eliminate substance abuse by you and for you to maintain stable mental health. During this phase, you shall appear regularly before the Judge. Required treatment attendance is three (3) days per week, with each group lasting two (2) hours. In addition to the required group sessions, you shall have four (4) individual counseling sessions per month with your assigned case manager. You will be involved in groups and individual treatment aimed at active problem solving, the benefits of treatment involvement, and self help. As group support becomes increasingly important, you will be encouraged to continue involvement in community groups (i.e. AA/NA). There will also be separate male and female groups to allow for support of gender related needs/expressions.

Your requirements for Phase III compliance include:

- Attending treatment sessions, appointments, and other activities as scheduled;
- Attending appointments with the Program psychiatrist and taking medication as prescribed;
- Attending status review hearings regularly before the Judge;
- Attending meetings with case managers, the Program nurse, and/or probation officers;
- Continuing to submit negative alcohol and drug testing samples at a frequency determined by the treatment team, at a minimum of once per week;
- Cooperating with random home visits;
- Engaging in a sober support community and obtaining a sponsor;
- Abiding by the rules of SAMI, the SAMI *Participation Agreement*, community control, and the laws;
- Committing no new criminal offenses;
- Paying Court costs, fines, and probation supervision fees on a regular basis;
- Taking steps towards obtaining a GED or High School Diploma;
- Securing long-term housing; and
- Obtaining long-term employment or securing Social Security.

Phase IV: Relapse Prevention

Relapse Prevention is the final phase of treatment, and not only serves as a consolidation of the prior phases of treatment, but as continued case management. During this phase, you shall appear regularly before the Judge until Transition. Required treatment attendance begins with three (3) days per week (with the Active Treatment group), with each group lasting two (2) hours. You may be given a “step down” day and not be required to attend the Active Treatment group three (3) days per week. Once given a “step down” day, you shall begin attending a Relapse Prevention group one (1) day per week, with each group lasting sixty (60) to ninety (90) minutes. In addition to the required group sessions, you shall have four (4) individual counseling sessions per month with the assigned case manager. By this time, the case manager shall have assisted you in securing aftercare services to address medications, case management, and/or any other social services needed. Once you have transitioned out of SAMI, you shall continue in Relapse Prevention, and the SAMI treatment team will follow your progress for three (3) months in order to monitor continued success.

Your requirements for Phase IV compliance include:

- Attending treatment sessions, appointments, and other activities as scheduled;
- Attending appointments with the Program psychiatrist and taking medication as prescribed;
- Attending status review hearings regularly before the Judge until Transition;
- Attending meetings with case managers, the Program nurse, and/or probation officers;
- Continuing to submit negative alcohol and drug testing samples at a frequency determined by the treatment team, at a minimum of once per week;
- Cooperating with random home visits;
- Engaging in a sober support community and obtaining a sponsor;
- Abiding by the rules of SAMI, the SAMI *Participation Agreement*, community control, and the laws;
- Committing no new criminal offenses;
- Paying Court costs, fines, and probation supervision fees on a regular basis;
- Taking steps towards obtaining a GED or High School Diploma;
- Securing long-term housing;
- Obtaining long-term employment or securing Social Security; and
- Successfully Transition from SAMI.

Caseload Group

In addition to the four (4) phases of treatment, each case manager will operate a caseload group every Friday, with each group lasting two (2) hours. Caseload group incorporates all participants for each case manager. Therefore, caseload group will include participants in various phases of treatment and various stages of recovery.

Residential Treatment

You may be recommended for residential treatment at the time of assessment, sentencing, probation violation, Judicial Release, or any other time during treatment.

The residential treatment facilities used by SAMI are Sojourner Recovery Services for Men, Sojourner Recovery Services for Women, Volunteers of America (VOA), and Talbert House Halfway House Programs (Serenity Hall, Turtle Creek, Pathways, and others). If deemed necessary, you may be required to complete treatment through the River City Correctional Center, the Monday Program, or the Community Correctional Center (CCC). You will be given jail time credit for time served while in River City, Monday or CCC.

While in residential treatment through Sojourner Recovery Services, you will be on restriction for the first thirty (30) days, but still permitted to attend twelve (12) step meetings if accompanied by Sojourner staff or a senior peer. Also during the first thirty (30) days, you will be escorted by Sojourner staff, senior peers, or a case manager to all appointments.

Incentives

Immediate, graduated, and individualized incentives govern the responses of SAMI to your compliance. Incentives are individualized according to the specific treatment plan and directly related to your achievements as certain milestones of the SAMI treatment plan are attained. Incentives are also tracked to ensure you are rewarded on a progressive basis.

The following are types of behavior appropriate for incentives:

- Attending required status review hearings;
- Attending appointments with the Program psychiatrist and taking medications as prescribed;
- Attending required treatment appointments;
- Maintaining close and productive contact with case managers and/or the Program nurse;
- Reaching individual treatment objectives;
- Abstaining from alcohol and drugs, as evidenced by negative drug testing results;
- Engaging in vocational or educational activities;
- Complying with the rules of SAMI, the *SAMI Participation Agreement*, community control, and the laws;
- Securing stable housing;
- Advancing in SAMI phases; and
- Accomplishing any other milestone identified by the treatment team.

The following are types of incentives:

- Encouragement and praise from the Judge;
- Ceremonies and certificates of progress, including advancement in SAMI phases;
- Reducing supervision contacts;
- Decreasing frequency of status review hearings;
- Reducing, suspending, or dismissing fines;
- Increasing or expanding privileges;
- Encouragement to increase participation in positive activities you find pleasurable;
- Reducing jail days; and
- Transitioning from SAMI.

Sanctions

Immediate, graduated, and individualized sanctions govern SAMI responses to your noncompliance. Graduated sanctions are used to help you conform your behavior to Program requirements. Sanctions are crafted in an individualized and creative manner, as well as in a progressive manner based on the infraction. Sanctions are issued when there is noncompliance with either Program protocol or the treatment plan. Sanctions shall be used as a deterrent to negative behavior and to encourage future compliance. An adjustment in treatment services, as well as participation in community-based mutual support meetings is based solely on your clinically informed interests. Incremental adjustments to the treatment plan made at the discretion of the Judge and the treatment team are not to be considered sanctions. However, failure to comply with treatment plan adjustments will subject you to sanctions.

At the time of a positive drug test (which may include a test taken at admission to the Program), the treatment team may consider an adjustment in treatment and/or the possibility of sanctions. Any change in the treatment plan and/or sanctions are enforced and reinforced by the Judge.

A level of sanction is applied to each type of possible infraction. This type of planning ensures lesser infractions will be met with lesser, more commensurate sanctions. Infractions are also tracked, along with resulting sanctions, so that additional sanctions can be applied in a graduated manner.

The following are common types of infractions:

- Failure to attend status review hearings;
- Failure to attend appointments with the Program psychiatrist, and/or failure to take medications as prescribed;
- Failure to attend treatment/group sessions;
- Failure to follow SAMI rules;
- Failure to keep scheduled appointments with the probation officer, case managers, the Program nurse, or any other treatment team member;

- Noncompliance with other requirements of the treatment plan;
- Failure to have your calendar at all SAMI appointments;
- Noncompliance with random alcohol and drug screens, testing positive for alcohol and/or drugs, or noncompliance with the *SAMI Drug Testing Policy*;
- Failure to comply with the *SAMI Participation Agreement*;
- Failure to comply with curfew as established by the treatment team;
- Failure to maintain confidentiality outside of treatment groups;
- Failure to improve troublesome behavior;
- Failure to complete community service hours;
- Failure to meet employment or vocational goals as determined by the treatment plan; and
- Failure to keep other appointments as scheduled, such as those for public benefit aid, health care benefits, housing assistance, etc.

The following are common types of sanctions:

- Warnings and admonishments from the Judge;
- Demotion to an earlier SAMI phase;
- Increasing frequency of alcohol and drug testing;
- More frequent status review hearings (weekly or bi-weekly reviews);
- Refusing specific requests, such as permission to travel;
- Denying additional or expanded privileges, or rescinding privileges previously granted;
- Increasing supervision contacts and monitoring;
- Issuing a no contact order with identified individuals;
- Individualized sanctions such as writing essays, reading books, or performing other activities to reflect upon unacceptable behavior;
- Imposition of suspended fines;
- Ordering community service;
- Ordering corrective thinking classes;
- EMHA (Electronic Monitored House Arrest) or SCRAM (Secure Controlled Remote Alcohol Monitoring) bracelets;
- Escalating periods of jail time;
- Filing of a probation violation; and
- Unsuccessful termination from SAMI.

Substance Use Monitoring

SAMI monitors your substance use by random, frequent, and observed alcohol and drug testing protocols. Substance monitoring will be part of the individualized treatment plan. You will submit to random, frequent, and observed alcohol and drug screens as identified in the *SAMI Drug Testing Policy*. Testing positive will result in a sanction. Failure to submit to testing, submitting an adulterated sample, submitting the sample of another individual, or diluting the sample is treated as a positive test and immediately sanctioned.

Testing may include instant urinalysis screens, SCRAM, portable breathalyzers, use of scientifically validated technology for ethyl alcohol, or other devices that are deemed reliable. Urine screens test for many common substances including your substance of choice. All testing shall be recorded and maintained for the duration of your involvement in SAMI.

SAMI utilizes instant urine screens and other types of testing that allow for laboratory confirmation testing. Upon a positive test result, you will be confronted with the result and given the opportunity to either sign an admission form or contest the positive test. If contested, the test will be sent to a certified laboratory for confirmation. While waiting for the laboratory results, sanctions are not issued. However, if the test returns a positive confirmation, then a more severe sanction, which could result in a probation violation, and/or termination from SAMI, may be issued based on deception and the use of substances. The laboratory fee for a confirmed positive test may be applied to your Court costs.

The results of substance monitoring tests will be provided to the appropriate treatment team members. The Judge shall be immediately notified if/when you fail to submit to a test, submit an adulterated sample, submit the sample of another individual, or dilute the sample. You shall be ordered to appear before the Judge on the next available status review hearing Docket so that sanctions can be immediately issued.

At the time of a positive drug test (which may include a test taken at admission to the Program), the treatment team may consider an adjustment in treatment and/or the possibility of sanctions. Any change in the treatment plan and/or sanctions are enforced and reinforced by the Judge.

Your responsibilities include the following:

- Upon admission to SAMI, you will review and sign, with the probation officer, the *SAMI Drug Testing Policy*;
- You will be informed, while in treatment, if your color has been selected for a urine screen that day. If you are not in treatment that day, it is your responsibility to call your probation officer to find out if you have been selected for a urine screen that day;
- If your assigned color has been selected, you shall report to the Butler County Adult Probation Department located at 824 South Martin Luther King Jr. Blvd., Hamilton, Ohio at 11:00 AM to submit a urine sample;
- Submit a urine sample as requested by any member of the treatment team at any time; and
- Provide information regarding prescription medications to the Program nurse, your assigned probation officer, and your assigned case manager.

Successful Completion

Written successful completion criteria has been collaboratively developed, reviewed, and agreed upon by the Advisory Committee. Successful completion criteria serve as the guidelines used to identify how you can successfully complete SAMI (Transition). In order to successfully complete SAMI, you shall demonstrate the compliant behavior and accomplishments listed below:

Compliant behavior may include:

- Demonstrating a period of abstinence from alcohol and drugs (evidenced by negative drug screens for a minimum of ninety [90] days prior to completion of SAMI);
- Completing community service hours;
- Attending sober support group meetings;
- Obtaining a sober support sponsor;
- Demonstrating stable mental health and medication compliance;
- Active member in a sober support group;
- Displaying a change in thinking, attitude, and beliefs;
- Complying with SAMI rules, the SAMI *Participation Agreement*, and/or the rules of community control;
- Successfully completing treatment and programming;
- Obtaining/maintaining consistent employment, or securing Social Security;
- Demonstrating the ability to identify and eliminate criminal thinking patterns;
- Paying towards Court costs, supervision fees, and restitution (if applicable); and
- Writing an essay on how SAMI has affected your life.

Accomplishments may include:

- Demonstrated a period of abstinence from alcohol and drugs (evidenced by negative drug screens for a minimum of ninety [90] days prior to completion of SAMI);
- Relapse prevention plan established;
- Regular sober support attendance;
- Obtained a sober support sponsor;
- Demonstrated stable mental health and medication compliance;
- Completed community service hours;
- Completed any other SAMI requirements;
- Completed vocational or educational plans;
- Paid towards Court costs, supervision fees, and restitution (if applicable);
- Displayed responsibility for your behavior;
- Demonstrated stability in the community;
- Obtained/maintained consistent employment, or secured Social Security;
- Obtained/maintained stable housing; and
- Completion of treatment.

Upon review of the compliant behavior and of your accomplishments, the treatment team may recommend successful completion. The Judge has final discretion to determine when you will successfully Transition from SAMI. Upon successful Transition from SAMI, you shall participate in a ceremony hosted by the Court, and receive a Certificate of Completion.

Unsuccessful Termination

Written unsuccessful termination criteria has been collaboratively developed, reviewed, and agreed upon by the Advisory Committee. Unsuccessful termination criteria serve as the guidelines used to identify how you can be unsuccessfully terminated from SAMI. The SAMI Judge has final discretion in determining termination from the specialized docket. The written legal and clinical eligibility and termination criteria do not create a right to participate in CDAT.

Criteria for unsuccessful termination from SAMI include:

- On-going noncompliance with treatment;
- Continued use of illegal substances;
- A verified positive confirmation from a contested drug screen;
- Noncompliance with prescribed medications;
- Noncompliance with confidentiality;
- On-going noncompliance with SAMI rules, the *SAMI Participation Agreement*, or the rules of community control;
- Absconding from community control supervision;
- New criminal convictions;
- Continued SAMI infractions resulting in graduated sanctions; and
- A probation violation hearing.

Neutral Discharge

Written neutral discharge criteria has been collaboratively developed, reviewed, and agreed upon by the Advisory Committee. Neutral discharge criteria serve as the guideline used to identify how you can be neutrally discharged from SAMI. The SAMI Judge has final discretion in determining termination from the specialized docket.

You may be neutrally discharged from SAMI if you are no longer capable of completing SAMI as a result of any of the following:

- A serious medical condition;
- Death;
- Other factors that may keep you from meeting the requirements for successful completion; and
- Discretion by the SAMI Judge to determine if you are no longer appropriate for SAMI.

Upon neutral discharge from SAMI, the level of community control supervision shall be determined by the Judge.

Inactive Status

SAMI has an inactive status for participants who meet any of the following:

- Placed in a residential facility (Community Based Correctional Facility) and cannot be transported for status review hearings;
- Serving time in a local or state jail facility for another county/jurisdiction; and
- Absconded from community control supervision.